

## A NEW VARIABLE NEIGHBORHOOD SEARCH APPROACH FOR SOLVING DYNAMIC MEMORY ALLOCATION PROBLEM

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**Abstract:** This paper is devoted to the Dynamic Memory Allocation Problem (DMAP) in embedded systems. The existing Integer Linear Programming (ILP) formulation for DMAP is improved, and given that there are several metaheuristic approaches for solving the DMAP, a new metaheuristic approach is proposed and compared with the former ones. Computational results show that our new heuristic approach outperforms the best algorithm found in the literature regarding quality and running times.

**Keywords:** Dynamic Memory Allocation Problem, Combinatorial Optimization, Metaheuristics, Variable Neighborhood Search.

**MSC:** 90C59, 05C90, 68T20.

## 1. INTRODUCTION

Dedicated computational systems within a larger mechanical or electrical system, usually with real time constraints, or the embedded systems, represent an integral part of a large number of devices, ranging from portable devices up to large industrial, medical, and military structures. Minimizing energy consumption while increasing reliability and performance present a major challenge for engineers. Therefore, designers want to find a balance between the architecture cost and its power consumption [1].

Power consumption of a given application can be reduced by using data access parallelization, which leads us to the definition of the Dynamic Memory Allocation Problem (DMAP).

DMAP can be described as follows. If an application involves a number of data structures with the given size and access cost, a number of memory banks with limited memory capacity, and one external memory bank with unlimited capacity, develop the best memory allocation scheme so that change of data structure allocation during program execution is allowed, while keeping loading, moving, and access time for those and all other operations to a minimum.

Given that modern devices are usually designed to run on processors with integrated or external memory, this problem has become very popular.

DMAP, as defined above, has an important role in modern software since the dynamic memory usage provides greater flexibility and functionality of the applications. There are a large number of papers and references to this particular issue in the literature. In this paper, DMAP in embedded systems is studied as an execution time problem, shown by Wuytack et al. in [17].

A memory allocation problem in embedded systems was widely analyzed by Soto et. al. in [14]. In [12] Soto et al. proposed the first Mixed Integer Linear Programming (MILP) formulation for the static version of the memory allocation problem and a metaheuristic approach based on the Variable Neighborhood Search (VNS). Later, Soto et al. [13] dealt with a dynamic version of the memory allocation problem, providing ILP formulation, and two iterative approaches for solving DMAP in embedded systems. These two approaches were followed by GRASP with the ejection chains for the memory allocation problem in embedded systems proposed in Sevaux et al. [11]. Focused on improving the memory allocation problem in embedded systems, Sánchez-Oro et. al [15] and [16] recently proposed a parallel VNS algorithm for DMAP and compared it with the previous ones. More precisely, they focused on the Synchronous Parallel VNS (SPVNS) variant that was used for parallelization of the local search method in the sequential VNS (in [15]) and on the Replicated Shaking VNS (RSVNS), which allows the search to simultaneously explore more solutions in the current neighborhood (in [16]).

In this paper, an improved ILP formulation for DMAP in embedded systems is proposed and a new metaheuristic approach based on the VNS is given. Results of this new metaheuristic approach are compared with the results from the existing literature.

The paper is organized as follows: Problem notation and ILP formulation known from the literature are given in Section 2. Section 3 is dedicated to the improved ILP formulation for dynamic versions of memory allocation problem followed by a short illustrative example. A new VNS approach is presented in the next section. Finally, the existing results from the literature, and the results achieved by using new VNS approach are given and compared in Section 5.

## 2. EXISTING MATHEMATICAL FORMULATION AND PROBLEM NOTATION

Notations and problem presentation will be similar as in [13]. Let us assume that the number of memory banks is limited by an electronic device, and that there are  $m$  internal memory banks with limited capacity, denoted by  $c_j$ ,  $0 < c_j < \infty$  ( $j = 1, \dots, m$ ), and one external memory bank whose size is supposed to be large enough so that it can be considered as unlimited ( $c_0 = \infty$ ), i.e. the chosen memory architecture is similar to the one of TI C6201 device. Size of a memory bank is given in kilo Bytes (kB), and each memory bank is denoted by  $\beta_j$ ,  $j = 0, \dots, m$ . Without loss of generality, let us assume that a particular application is written in C++ code as a set of binary operations between the involved data structures. The term *data structure* is used for scalars, arrays, or similar structures of the applications. Further, let us assume that a set of all data structures is finite and depends on a program code. Furthermore, let us mark each data structure with  $\alpha_i$ , where  $i = 1, \dots, n$ , where  $n$  is a number of data structures. Data structure  $\alpha_i$  size is defined in kB and marked by  $a_i$ . Due to the fact that external memory is a huge mass storage, all operations with data structures will be  $p$  times slower if mapped to the external memory bank. Therefore, we say that  $p$  is a penalty cost for loading data structure from the external memory bank. As all operations are not used at the same time, program execution time  $T$  is divided into  $t$  time blocks,  $I_t$ ; it is not necessary that time blocks have constant size, and it is allowed to reconsider and move data structures before and after each time block. For instance, we say that a time block has size equal to one if it contains only one operation. If all time blocks are of size one, moving data structures is allowed before and after each operation. Since the access to data structures during the particular time block can differentiate, we define its access cost in milliseconds per kilo Bytes (ms/kB) and mark access cost to data structure  $\alpha_i$  at time block  $I_t$  by  $e_{i,t}$ .

As previously mentioned, given that the parallel data structure usage can speed up program execution, moving data structure will be useful, especially because memory banks are of limited size. We assume that moving data structure is allowed only in between two time blocks and not during, without loss of generality. The problem with only one time block is a static memory allocation problem and more about it can be found in [8] and [12]. Moving data structures and rearranging their allocation is useful because in some cases moving data structure from external to internal memory bank and loading it from the internal memory bank can be less expensive than loading and operating with it while it

is mapped to the external memory bank. Every data structure movement is also calculated.

It is assumed that the data structure movement between two internal memory banks costs  $l$  times its size, while the movement between internal and external memory banks is  $v$  times its size.

Similarly, when data structures are jointly involved in the same operation, i.e. have to be accessed at the same time, it will be assumed that data structure location affects its loading time. If two data structures, say  $\alpha_s$  and  $\alpha_d$ , are jointly involved in the same operation during the particular time block  $I_t$ , the given pair is called "conflict pair" with notation  $\{\alpha_s, \alpha_d\}_t$ . The number of conflict pairs at time block  $I_t$ ,  $t = 1, \dots, T$  is denoted by  $k_t$ , while the set of all conflict pairs accessed at time block  $I_t$  is given by  $O_t$ . Accessing the conflict pair  $\{\alpha_s, \alpha_d\}_t$  at time block  $I_t$  will cost  $b_{\alpha_s, \alpha_d, t}$  if both data structures are mapped onto two different internal memory banks,  $2b_{\alpha_s, \alpha_d, t}$  if both data structures are mapped onto the same internal memory bank, respectively;  $pb_{\alpha_s, \alpha_d, t}$  if one data structure is mapped onto the external memory bank, and  $2pb_{\alpha_s, \alpha_d, t}$  if both data structures are mapped onto the external memory bank.

Let us assume that set  $O_t$  consists of  $k_t$  conflict pairs, marked as  $\{\alpha_{s_1}, \alpha_{d_1}\}, \{\alpha_{s_2}, \alpha_{d_2}\}, \dots, \{\alpha_{s_{k_t}}, \alpha_{d_{k_t}}\}$ . In order to reduce the number of indices, for  $r$ -th conflict pair  $\{\alpha_{s_r}, \alpha_{d_r}\}$ ,  $r = 1, \dots, k_t$  instead of using notation  $b_{\alpha_{s_r}, \alpha_{d_r}, t}$  conflict cost will be written as  $b_{r,t}$ . The set of all required data structures at time block  $t$  is denoted by  $P_t$ .

Again, it is assumed that all data structures are mapped to the external memory bank at the beginning of the program execution. Also, at the beginning of the program execution, the number of time intervals ( $T$ ) is given and for each time interval  $I_t$ ,  $t = 1, \dots, T$ , sets  $P_t$  and  $O_t$  are followed with all necessary data (access cost for each data structure  $\alpha_i \in P_t$  and conflict costs for each conflict pair  $\{\alpha_{s_r}, \alpha_{d_r}\}$ ,  $r = 1, \dots, k_t$  in a particular time interval  $I_t$ ).

The first mathematical formulation for DMAP in embedded systems is presented as it was proposed in [13].

For all  $(i, j, k) \in \{1, \dots, n\} \times \{0, \dots, m\} \times \{1, \dots, T\}$  a decision variable  $x_{i,j,t}$  is set to one if and only if data structure  $\alpha_i$  is allocated to the memory bank  $\beta_j$  during the time block  $I_t$ ,  $x_{i,j,t} = 0$  otherwise. Given that, the conflict pair is considered as closed if the involving data structures are mapped onto two different memory banks but open otherwise. For all conflicts  $r \in \{1, \dots, k_t\}$  at time block  $I_t \in \{1, \dots, T\}$ , decision variable  $y_{r,t}$  will be set to one if and only if during the time interval  $I_t$  conflict  $r$  is closed, otherwise  $y_{r,t} = 0$ . Further, data structure moving is represented by the two following sets of variables: for all  $i \in \{1, \dots, n\}$  and  $t \in \{1, \dots, T\}$ ,  $w_{i,t}$  is set to one if and only if data structure  $\alpha_i$  has been moved from a memory bank  $\beta_j \neq \beta_0$  to a different memory bank  $\beta_j \neq \beta_0$  between time blocks  $I_{t-1}$  and  $I_t$ . For all  $\alpha_i$ ,  $i \in \{1, \dots, n\}$ , at time block  $I_t = \{1, \dots, T\}$ ,  $w'_{i,t}$  would be set to one if and only if data structure  $\alpha_i$  was moved from internal memory bank to the external memory bank, or if it was moved from the external memory bank to an internal memory bank between time blocks  $I_{t-1}$  and  $I_t$ .

Before presenting ILP formulation for DMAP, let us recall all input and output

data described in [13].

Input:

$T$  - number of time intervals.

$n$  - number of data structures.

$m$  - number of internal memory banks.

$p$  - penalty cost for operating with data structure when it is mapped to the external memory bank.

$v$  - penalty cost for data structure movement from external memory bank to the internal and vice versa.

$l$  - penalty cost for data structure movement between two different internal memory banks.

$\alpha_i$  - size of data structure  $\alpha_i, i = 1, \dots, n$ .

$\beta_j$  - size of internal memory bank  $\beta_j, j = 1, \dots, m$ .

$P_t$  - set of data structures which are going to be used at the time block  $I_t, t = 1, \dots, T$ .

$e_{i,t}$  - number of times that  $\alpha_i \in P_t, i = 1, \dots, |P_t|$  was accessed during the time block  $I_t, t = 1, \dots, T$ .

$O_t$  - set of conflict pairs for time block  $I_t, |O_t| = k_t, t = 1, \dots, T$ .

$b_{r,t}$  - conflict cost for conflict pair  $\{\alpha_{s_r}, \alpha_{d_r}\} \in O_t, r = 1, \dots, k_t$  for time block  $I_t, t = 1, \dots, T$ .

Output:

$x_{i,j,t}$  - the decision if data structure  $\alpha_i (i = 1, \dots, n)$  is to be mapped to the memory bank  $\beta_j (j = 0, \dots, m)$  during the time interval  $I_t, (t = 1, \dots, T)$ .

$y_{r,t}$  - the decision if conflict  $r$  is to be closed during time interval  $I_t (t = 1, \dots, T)$ .

$w_{i,t}$  - the decision if data structure  $\alpha_i, i = 1, \dots, n$  is to be moved from one internal memory bank to a different internal memory bank between time intervals  $I_{t-1}$  and  $I_t$ .

$w'_{i,t}$  - the decision if data structure  $\alpha_i (i = 1, \dots, n)$  is to be moved from the external to the internal memory bank or vice versa between time intervals  $I_{t-1}$  and  $I_t$ .

Now, DMAP formulation known from the literature can be described as followed:

$$\min f = \sum_{t=1}^T [(p-1) \sum_{\alpha_i \in P_t} (e_{i,t} \cdot x_{i,0,t}) - \sum_{r=1}^{k_t} (y_{r,t} \cdot b_{r,t}) + \sum_{\alpha_i \in P_t} a_i (l \cdot w_{i,t} + v \cdot w'_{i,t})] \quad (1)$$

Subject to the constraints

$$\sum_{j=0}^m x_{i,j,t} = 1, \quad i \in \{1, \dots, n\}, \quad t \in \{1, \dots, T\} \quad (2)$$

$$\sum_{\alpha_i \in P_t} x_{i,j,t} \cdot a_i \leq c_j, \quad j \in \{1, \dots, n\}, t \in \{1, \dots, T\} \quad (3)$$

$$x_{s_r,j,t} + x_{d_r,j,t} \leq 2 - y_{r,t} \quad (\alpha_{s_r}, \alpha_{d_r}) \in P_t, j \in \{0, \dots, n\}, r \in \{1, \dots, k_t\}, t \in \{1, \dots, T\} \quad (4)$$

$$x_{i,j,t-1} + x_{i,j',t} \leq 1 + w_{i,t} \quad i \in \{1, \dots, n\}, (j, j') \in \{1, \dots, m\}^2, (\beta'_j \neq \beta_j), t \in \{1, \dots, T\} \quad (5)$$

$$x_{i,0,t-1} + x_{i,j,t} \leq 1 + w'_{i,t} \quad i \in \{1, \dots, n\}, j \in \{1, \dots, m\}, t \in \{1, \dots, T\} \quad (6)$$

$$x_{i,j,t-1} + x_{i,0,t} \leq 1 + w'_{i,t} \quad i \in \{1, \dots, n\}, j \in \{1, \dots, m\}, t \in \{1, \dots, T\} \quad (7)$$

$$x_{i,j,0} = 0 \quad i \in \{1, \dots, n\}, j \in \{1, \dots, m\} \quad (8)$$

$$x_{i,0,0} = 1 \quad i \in \{1, \dots, n\} \quad (9)$$

$$x_{i,j,t} \in \{0, 1\} \quad i \in \{1, \dots, n\}, j \in \{1, \dots, m\}, t \in \{1, \dots, T\} \quad (10)$$

$$w_{i,t} \in \{0, 1\} \quad i \in \{1, \dots, n\}, t \in \{1, \dots, T\} \quad (11)$$

$$w'_{i,t} \in \{0, 1\} \quad i \in \{1, \dots, n\}, t \in \{1, \dots, T\} \quad (12)$$

$$y_{r,t} \in \{0, 1\} \quad r \in \{1, \dots, k_t\}, t \in \{1, \dots, T\} \quad (13)$$

Constraints (2) state that every data structure is allocated to only one memory bank at time interval  $I_t$ . Constraints (3) ensure that the total size of all data structures allocated to any memory bank at time interval  $I_t$  does not exceed its size. Constraints (4) - (7) ensure that variables  $y_{rt}$ ,  $w_{i,t}$  and  $w'_{i,t}$  are set appropriately. The initial conditions are given by the constraints (8) and (9) and finally, constraints (10)-(13) enforce binary requirements.

Note that the presented mathematical formulation does not correspond to the DMAP problem. More precisely, calculation of the cost function is based only on the fact that data structures are mapped to the same memory bank, though, both data structures can be mapped to the external memory bank or to the same internal memory bank. Additionally, the cost of accessing data structure  $\alpha_i$  during time interval  $I_t$  is calculated as  $(p-1)e_{i,t}$  if the data are mapped to the external memory bank and as 0 if the data are mapped to the internal memory bank, which is not correct. We believe that  $\sum_{t=1}^T \sum_{\alpha_i \in P_t} e_{it}$  is unintentionally left out, given the fact that with this constant, the cost function (1) indeed corresponds to the DMAP

problem. Still, the way the solution to the DMAP problem was calculated in [11], [13] and [15] included before mentioned oversights.

Considering that it was not easy to notice that the mentioned constant was left out, we have given an improved ILP formulation for the DMAP in embedded system in the following section.

### 3. IMPROVEMENT TO THE EXISTING ILP FORMULATION FOR DMAP IN EMBEDDED SYSTEMS

Let binary variables  $x_{i,j,t}$ ,  $w_{i,t}$  and  $w'_{i,t}$  ( $i = 1, \dots, n$ ,  $j = 0, \dots, m$ ,  $t = 1, \dots, T$ ) have the same meaning as before, and let binary variables  $y_{r,t}$ , defined such that  $r$  represent a conflict pair  $(\alpha_{s_r}, \alpha_{d_r})$  at time block  $I_t$ , have slightly different meaning, i.e.

$$y_{r,t} = \begin{cases} 1, & \text{if data } \alpha_{s_r} \text{ and } \alpha_{d_r} \text{ are both mapped into} \\ & \text{the same memory bank at time block } I_t \quad (\alpha_{s_r}, \alpha_{d_r}) \in I_t, t = 1, \dots, T \\ 0, & \text{otherwise} \end{cases} \quad (14)$$

Additionally, let  $e_{it}$  represent the cost for accessing data  $\alpha_i$  during the time block  $I_t$  instead of denoting the number of that data structure  $\alpha_i$  accessed during the time block  $I_t$ . Value of the  $e_{it}$  will actually differ, concerning the previous definition by the conflict cost where it is involved during time interval  $I_t$ . We think that with this definition, loading each data structure and accessing the same data structure during the conflict, in which it is involved, are defined more precisely.

Now, the improved formulation for DMAP can be defined as follows:

$$\begin{aligned} \min \quad f = & \sum_{t=1}^T \left( \sum_{\alpha_i \in P_t} (1 + (p-1)x_{i,0,t})e_{i,t} + \right. \\ & \left. \sum_{\substack{r=1 \\ \{\alpha_{s_r}, \alpha_{d_r}\} \in O_t}}^{k_t} b_{r,t} (1 + y_{r,t} + (p-1)(x_{s_r,0,t} + x_{d_r,0,t})) + \sum_{i=1}^n a_i (lw_{i,t} + vw'_{i,t}) \right) \end{aligned} \quad (15)$$

subject to constraints:

$$x_{s_r,j,t} + x_{d_r,j,t} \leq y_{r,t} + 1 \quad (\alpha_{s_r}, \alpha_{d_r}) \in P_t, \quad t = 1, \dots, T \quad (16)$$

$$y_{r,t} \in \{0, 1\} \quad (17)$$

and constraints (2), (3), (5)-(12) from the existing ILP formulation.

Constraints (16) correspond to the constraints (4) in accordance with the new definition of variables  $y_{r,t}$ .

Access cost at particular time block  $I_t$  is equal to  $\sum_{\alpha_i \in P_t} (1 + (p-1)x_{i,0,t})e_{i,t}$ , which covers cases when data structure is mapped to the external memory bank. At the same time block, expression  $1 + y_{r,t} + (p-1)(x_{s_r,0,t} + x_{d_r,0,t})$  is equal to 1 if data structures from the same conflict pair are mapped onto two different internal memory banks; it is equal to 2 if they are mapped onto the same internal memory

bank, respectively, and it is equal to  $p$  if one conflict data is mapped to the external memory bank and is equal to  $2p$  if both data structures are mapped to the external memory bank. Therefore,

$$\sum_{\substack{r=1 \\ \{\alpha_s, \alpha_d\} \in O_t}}^{k_t} b_{r,t} (1 + y_{r,t} + (p-1)(x_{s,0,t} + x_{d,0,t}))$$

correspond to conflict cost at time interval  $I_t$ , while movement cost between two time blocks is again calculated by the sum

$$\sum_{t=1}^T \sum_{i=1}^n a_i (lw_{i,t} + vw'_{i,t})$$

Now, given that all oversights are covered by the new proposed cost function, the improved ILP formulation corresponds to the proposed DMAP in embedded systems.

For  $T = 1$ , DMAP formulation becomes ILP formulation, which was proposed and proven to be feasible for static version of the memory allocation problem in [8]. Let us illustrate DMAP and its ILP formulation on a short example.

An illustrative example is given on a small size instance.

**Example 3.1.** *There are 9 data structures ( $\alpha_i$ ,  $i = 1, \dots, 9$ ), which have to be placed into 2 internal memory banks ( $\beta_1$  and  $\beta_2$ ), each size of 1000 (kB) ( $c_j = 1000$ ,  $j = 1, 2$ ), and 1 external memory bank ( $c_0 = \infty$ ). Sizes of data structures are given in Table 1. Loading time is divided into three time blocks of specified sizes. Data structures are used in pairs. Access cost for each data structure at each time block, together with the conflict sets are given in Table 2. For instance, at time block  $I_1$ , 4 data structures are used,  $P_1 = \{\alpha_2, \alpha_5, \alpha_8, \alpha_9\}$ , with zero access time each and with two conflict pairs. The first one is between data structures  $\alpha_8$  and  $\alpha_9$  ( $k_{1,1} = (\alpha_8, \alpha_9)$ ) with conflict cost  $b_{1,1} = 592$ , and the second one is between data structures  $\alpha_2$  and  $\alpha_5$  ( $k_{2,1} = (\alpha_2, \alpha_5)$ ) with conflict cost  $b_{2,1} = 192$ . Loading all operations from the external memory bank costs  $p = 16$  times more than loading them from the internal memory bank. Moving data between two internal memory banks costs  $l = 1$  (ms/kB), and moving between internal and external memory bank  $v = 4$  (ms/kB).*

Let us assume that at the beginning of the program ( $t = 0$ ), all data structures are mapped to the external memory bank. Knowing the order of the data structure usage, we can move them before each time block in order to reach better results. Table 3 represents the optimal solution obtained by using IBM ILOG CPLEX optimization solver for a mathematical model presented above. Solution can be interpreted as follows: at time block  $I_1$ , data structures  $\alpha_1, \alpha_3, \alpha_4, \alpha_6$ , and  $\alpha_7$  should be mapped to the external memory bank, marked as  $\beta_0$ , while data structures  $\alpha_5$  and  $\alpha_8$  should be mapped to the memory bank marked as  $\beta_1$ , and finally, data structures  $\alpha_2$  and  $\alpha_9$  should be mapped to the memory bank marked as  $\beta_2$ . Then, total execution time, which consists of moving time and conflict time for each



Table 1: Data structure size

data structures	$\alpha_1$	$\alpha_2$	$\alpha_3$	$\alpha_4$	$\alpha_5$	$\alpha_6$	$\alpha_7$	$\alpha_8$	$\alpha_9$
size of data struct.	256	4	496	256	4	256	256	256	256

Table 2: Data access and conflict costs for  $t = 1$  (left),  $t = 2$  (middle) and  $t = 3$  (right)

	Time block: 1				Time block: 2				Time block: 3		
Data set	$\alpha_2$	$\alpha_5$	$\alpha_8$	$\alpha_9$	$\alpha_1$	$\alpha_2$	$\alpha_3$	$\alpha_4$	$\alpha_4$	$\alpha_6$	$\alpha_7$
Access c.	4	4	256	256	256	4	496	256	256	256	256
	Conflicts				Conflicts				Conflicts		
Conflicts	$\alpha_s$	$\alpha_d$	Cost		$\alpha_s$	$\alpha_d$	Cost		$\alpha_s$	$\alpha_d$	Cost
$k_{1,t}$	$\alpha_8$	$\alpha_9$	592		$\alpha_2$	$\alpha_2$	576		$\alpha_6$	$\alpha_7$	1,024
$k_{2,t}$	$\alpha_2$	$\alpha_5$	192		$\alpha_1$	$\alpha_4$	64		$\alpha_4$	$\alpha_7$	1,024
$k_{3,t}$					$\alpha_1$	$\alpha_3$	1,024		$\alpha_4$	$\alpha_4$	64

time block, is presented in Table 4. Loading time is excluded from this illustration because loading cost is equal to zero for each data structure at each time block. For instance, total block costs will be explained in detail for the time block  $I_3$ : given that data structure  $\alpha_1$  is replaced with data structure  $\alpha_6$  and moved from memory allocation  $\beta_2$  to the memory allocation  $\beta_0$  and the other way around, moving cost is equal to  $(256 + 256) \cdot 4 = 2048$  (ms). Further, conflict costs for the same block is equal to  $1,024 + 1,024 + 64 \cdot 2 = 2,176$  (ms), which brings total block cost to 4,224 (ms). Combining the results for all three time blocks gives the problem execution cost of 17,772 (ms).

Table 3: Solution to the example for DMAP formulation

Mem. allocation	t = 0	t = 1	t = 2	t = 3
$\beta_0$	All data	$\alpha_1, \alpha_3, \alpha_4, \alpha_6, \alpha_7$	$\alpha_6, \alpha_8$	$\alpha_1, \alpha_8$
$\beta_1$		$\alpha_5, \alpha_8$	$\alpha_3, \alpha_5, \alpha_7$	$\alpha_3, \alpha_5, \alpha_7$
$\beta_2$		$\alpha_2, \alpha_9$	$\alpha_1, \alpha_2, \alpha_4, \alpha_9$	$\alpha_2, \alpha_4, \alpha_6, \alpha_9$

Table 4: Costs

	t = 1	t = 2	t = 3
Access cost	520	1,012	768
Moving cost	2,080	6,080	2,048
Conflict cost	784	2,304	2,176
Total cost per block	3,384	9,396	4,992

Now, the solution in terms of the proposed ILP formulation can be interpreted as follows:  $\min f = 17,772$  which nonzero decision variables  $x_{1,0,1}, x_{3,0,1}, x_{4,0,1}, x_{6,0,1}, x_{7,0,1}, x_{5,1,1}, x_{8,1,1}, x_{2,2,1}, x_{9,2,1}, x_{6,0,2}, x_{8,0,2}, x_{3,1,2}, x_{5,1,2}, x_{7,1,2}, x_{1,2,2}, x_{2,2,2}, x_{4,2,2}, x_{9,2,2}, x_{1,0,3}, x_{8,0,3}, x_{3,1,3}, x_{5,2,3}, x_{7,2,3}, x_{2,3,3}, x_{4,2,3}, x_{6,2,3}, x_{9,2,3}, y_{1,2}, y_{2,2}, y_{3,3}, w_{2,1}, w_{5,1}, w_{8,1}, w_{9,1}, w_{1,2}, w_{3,2}, w_{4,2}, w_{7,2}, w_{8,2}, w_{1,3}$ , and  $w_{6,3}$ .

In order to compare the presented ILP formulation for the DMAP with the one known from the literature, both formulations were coded in C++ and tested on Intel(R) Core(TM) i7-4700Mq CPU @ 2.40GHz, 2394MHz, 4 Core(s) with 8GB

RAM with CPLEX 12.6. For experimental testings of the proposed ILP formulations we used the same set of instances as the one reported by Soto et. al in [13]. The set of instances can be downloaded under the name `dmap.zip` from <http://www.opticom.es/dmap/dmap.zip>. More details about these instances are given in Section 5.

In these testings, penalty cost  $p$  is set to be equal to 16ms/kB, movement data structure cost from internal to external memory bank ( $v$ ) and vice versa is set to be equal to 4ms/kB, while the movement between two internal memory banks ( $l$ ) is set to be equal to 1ms/kB. Comparison of the presented Soto et al. [13] formulation and our formulation is presented in Table 5. The name of an instance is given in the first and the fifth column. Solution value obtained for the particular instance by using ILP formulation presented in [13] is given in the second and the sixth column. Solution values for the corrected cost function of the same ILP formulation, as described at the end of the Section 2, are given in the third and the seventh column. Finally, solution values of the tested instances by using our formulation are given in the fourth and the eighth column. Time limit for all testings was set to 7200 sec. Sign "\*" was used in case that testings were stopped earlier because of status "out of memory". On majority of instances, the optimal solution value was found using both formulations. On instances such as "myciel4dy.col"- "r125.1dy.col", the found solutions were not proved to be the optimal. As it can be seen from Table 5, on instances where optimal solution was not reached, CPLEX was able to find better results by using our ILP formulation on 4 instances and on 1 by using Soto et al. [13] corrected formulation.

#### 4. VNS FOR THE PROPOSED DMAP PROBLEM

The problem of combinatorial optimization, presented in this paper, can be solved by using exact methods, but because of its number of variable limitations for large scale variables, metaheuristics are more useful. Therefore, DMAP was solved by using VNS hybridized with Tabu Search (TS) in [13] and GRASP with ejection chains in [11]. In this paper, a VNS metaheuristic method hybridized with Variable Neighborhood Descent (VND) search, which corresponds to the proposed DMAP model, is presented. VNS metaheuristic was first presented by Mladenović and Hansen in [9], and the main idea was proposed in 1995, [10]. Later, it was followed with several papers aimed at improving the method, [2, 3, 4, 5, 6], and [7]. The main idea of this heuristic is that starting from one initial solution by using systematic search, we move to the solution that is locally the best.

*Solution space.* Each solution can be represented by a matrix (two-dimensional array)  $Z$  with  $T$  rows and  $n$  columns. Value of element  $z_{t,i}$  represents a label of a memory bank on which the data structure  $\alpha_i$  is allocated at the time interval  $I_t$  ( $t = 1, 2, \dots, T$ ,  $i = 1, 2, \dots, n$ ). Only feasible solutions are considered, i.e. data structures are allocated in such a way that there are no overloaded banks. In other words, for each time interval  $I_t$  and each bank  $\beta_j$ , the following condition is

Table 5: Comparison of the ILP formulation for the DMAP presented in Soto et al [13], their formulation with correction and our ILP formulation

Instance	from Soto et al [13]			Instance	from Soto et al [13]		
	without correct val. <i>val</i>	with correct val. <i>val</i>	our ILP formulat. <i>val</i>		without correct val. <i>val</i>	with correct val. <i>val</i>	our ILP formulat. <i>val</i>
adpcmdy	37368	44192	44192	multsol_i2dy	*	*	*
alidydy	*	107518	107518	multsol_i4dy	*	*	*
cjpegdy	-4237207	4466800	4466800	multsol_i5dy	*	*	*
compressdy	-222272	342592	342592	myciel3dy	*	6379	6379
fpsol2i2dy	*	*	*	myciel4dy	*	<b>18225</b>	18295
fpsol2i3dy	*	*	*	myciel5dy	*	40380	40380
gsm_newdy	7320	7808	7808	myciel6dy	*	108726	108726
gsmdy	548645.75	1355390	1355390	myciel7dy	*	*	*
gsmdycorrdy	-312628	494119	494119	queen5_5dy	*	21859	21859
inithx_i1dy	0	*	*	queen6_6dy	*	43306	<b>39144</b>
lmsbdy	-7245829	7409669	7409669	queen7_7dy	*	88652	<b>85606</b>
lmsbv01dy	-4051636	4350640	4350640	queen8_8dy	*	*	<b>138939</b>
lmsbvdy	-4061214	4323294	4323294	r125.1cdy	*	*	*
lmsbvdyexpdy	-4035252	4367024	4367024	r125.1dy	*	62354	<b>60931</b>
lpcdy	23802	26888	26888	r125.5dy	*	*	*
mpeg2enc2dy	7788.8586	9812.312	9812.312	spectraldy	6352	15472	15472
mpegdy	5805.625	10613.63	10613.63	treillisdya	1331.563	1805.563	1805.563
mug100_1dy	12797	29847	29847	turbocodedy	847	3195	3195
mug100_25dy	11621	28429	28429	volterradya	166	178	178
mug88_1dy	10227	25305	25305	zeroin_i1dy	*	*	*
mug88_25dy	9157	24181	24181	zeroin_i2dy	*	*	*
multsol_i1dy	*	*	*	zeroin_i3dy	*	*	*

satisfied:

$$\sum_{i:z_{t,i}=j} a_i \leq c_j.$$

Note that the above expression represents the total size of memory bank  $\beta_j$  occupied by data structures allocated to that bank during the time interval  $I_t$ .

Similarly, a solution can be represented by a matrix  $X$ , where  $x_{i,j,t} \in X$  is equal to one if data  $\alpha_i$  is allocated to the memory bank  $\beta_j$  at the time block  $I_t$  and zero otherwise. For a matrix  $Z$ , which represents the solution, it is possible to evaluate the solution  $X$  and its respective objective function value  $f(X)$ . The objective function value consists of two parts:

- Costs of moving data structures from one memory bank to another,
- Costs of accessing conflict pairs.

Complexity of computing the first part of the objective function is  $O(nT)$ , while the cost of computing the second part is  $O(K)$ , where  $K$  represents a total number of conflict pairs ( $K = \sum_{t=1}^T k_t$ ).

In the solution space, we introduce two types of moves:

- Insertion move,
- Swap move.

*Insertion move* consists of picking one time interval  $I_t$  and one data structure  $\alpha_i$ , and moving that data structure from the bank  $\beta_{j_1}$  on which it is allocated in the current solution to the bank  $\beta_{j_2}$  ( $j_2 \neq j_1$ ). Understandably, it is necessary to choose  $\beta_{j_2}$  in such a way that the new solution obtained by this move is feasible. If a solution is represented by a matrix  $Z$ , this move consists of setting value of element  $z_{t,i}$  to  $j_2$ .

*Swap move* consists of picking time interval  $I_t$ , two data structures  $\alpha_{i_1}$  and  $\alpha_{i_2}$  currently allocated to different banks and exchanging their allocations. If a solution is represented by a matrix  $Z$ , this move consists of exchanging values of elements  $z_{t,i_1}$  and  $z_{t,i_2}$ .

Hence, based on the introduced moves, we can define neighborhoods of the solution space. So, neighborhood  $\mathcal{N}_k(X)$  consists of all solutions  $X'$  obtained by applying  $k$  successive moves (Insertion or Swap move) starting from the solution  $X$ . Also, we introduce two neighborhoods,  $N_{ins}(X)$  and  $N_{swap}(X)$ , as the sets of all solutions obtained by applying Insertion move or Swap move (respectively) on solution  $X$ . Note that the union of neighborhoods  $N_{ins}$  and  $N_{swap}$  represents the neighborhood  $\mathcal{N}_1$ .

Now, VNS heuristic can be defined in such a way that starting from initial feasible solution  $X$  it "shakes" that solution by creating another feasible solution  $X' \in \mathcal{N}_k(X)$  and applies local search method in order to move to a better solution  $X''$ . If such a solution is not better than the current incumbent  $X$ , we create another

neighborhood set  $\mathcal{N}_{k+1}(X)$  and seek for a better solution until  $k$  reaches its maximum  $k_{max}$ . If  $X''$  is better than a current incumbent solution, it becomes new incumbent and  $k$  becomes  $k_{min}$ . This systematic local environment change is needed because of the fact that local minimum within one environment does not have to be local minimum of the other environment, but a global minimum is a local minimum relative to all environments. Changing environments also enables to get out from the local minimum. VNS metaheuristic is illustrated with Algorithm 1.

---

**Algorithm 1:** Variable Neighborhood Search metaheuristics

---

```

1  $X \leftarrow InitialSolution();$ 
2  $X_{opt} \leftarrow X;$ 
3  $f_{opt} \leftarrow f(X);$ 
4 repeat
5    $k \leftarrow k_{min};$ 
6   repeat
7      $X' \leftarrow Shake(X, k);$ 
8      $X'' \leftarrow LocalSearch(X');$ 
9     if  $f(X'') < f_{opt}$  then
10       $X \leftarrow X'';$ 
11       $f_{opt} \leftarrow f(X);$ 
12       $k \leftarrow k_{min};$ 
13     else
14        $k \leftarrow k + k_{step};$ 
15     end
16   until  $k > k_{max};$ 
17 until  $StoppingCondition();$ 

```

---

*Initial solution.* At the beginning, all data structures are mapped to the external memory bank. After that, for each data structure  $\alpha_i$  and each time interval  $I_t$ , we try to "reallocate"  $\alpha_i$  to one of the banks such that the obtained solution is feasible and better than the incumbent. The pseudocode for calculation of an initial solution is given in Algorithm 2.

*Shaking.* Shaking in Neighborhood  $\mathcal{N}_k$  ( $Shake(X, k)$ ) consists of performing  $k$  randomly selected moves. For each of these  $k$  moves, Insertion move or Swap move were chosen equally (with probability equal to  $p = 0.5$ ). The pseudo code for Shaking procedure is given in Algorithm 3.

**Algorithm 2:**


---

```

1 Function InitialSolution();
2 for  $\leftarrow 1$  to  $n$  do
3   for  $t \leftarrow 1$  to  $T$  do
4      $X_{t,i} \leftarrow 0$ ;
5   end
6 end
7  $fc \leftarrow f(X)$ ;
8 for  $i \leftarrow 1$  to  $n$  do
9   for  $t \leftarrow 1$  to  $T$  do
10    for  $j \leftarrow 1$  to  $m$  do
11       $xo \leftarrow X_{t,t}$ ;
12       $X_{t,i} \leftarrow j$ ;
13      if Feasible( $X$ ) and  $f(X) < fc$  then
14         $fc \leftarrow f(X)$ ;
15      else
16         $X_{t,i} \leftarrow xo$ ;
17      end
18    end
19  end
20 end
21 return  $X'$ ;

```

---

**Algorithm 3:**


---

```

1 Function Shake( $X, k$ );
2  $X' \leftarrow X$ ;
3 for  $i \leftarrow 1$  to  $k$  do
4    $p \leftarrow \text{RandomNumber}(0, 1)$ ;
5   if  $p \leq 0.5$  then
6     InsertMethod:
7     repeat
8        $t_r \leftarrow \text{RandomNumber}(1, T)$ ;
9        $i_r \leftarrow \text{RandomNumber}(1, n)$ ;
10      repeat
11         $j_r \leftarrow \text{RandomNumber}(0, m)$ ;
12      until  $j_r \neq \text{CurrentPosition}(i_r, t_r)$ ;
13      until NotFeasibleSolution;
14      Move data structure  $\alpha_{i_r}$  to the memory location  $\beta_{j_r}$  at time block  $I_{t_r}$ .
15   else
16     SwapMethod:
17     repeat
18        $t_r \leftarrow \text{RandomNumber}(1, T)$ ;
19        $i_{r_1} \leftarrow \text{RandomNumber}(1, n)$ ;
20      repeat
21         $i_{r_2} \neq i_{r_1}$ 
22      until  $i_{r_2} \neq i_{r_1}$ ;
23      until NotFeasibleSolution;
24      Swap memory locations for data structures  $\alpha_{i_{r_1}}$  and  $\alpha_{i_{r_2}}$  at time block  $I_{t_r}$ .
25   end
26 end

```

---

*Local Search* is implemented as the Variable Neighborhood Descent (VND) method based on two previously defined moves (and corresponding neighborhoods  $N_{ins}$  and  $N_{swap}$ ). This means that the neighborhood  $N_{ins}(X')$  of a current solution  $X'$  is examined in order to find a solution  $X'_1$ , which is better than the solution  $X'$  ( $f(X'_1) < f(X')$ ). If such a solution  $X'_1$  exists, the corresponding move is performed and examining the neighborhood  $N_{ins}$  of the new solution continues. If there is no better solution in the neighborhood  $N_{ins}$  of the incumbent, examining the neighborhood  $N_{swap}$  of the incumbent continues. If there is a solution  $X'_1 \in N_{swap}(X')$ , the corresponding move is performed and examining the neighborhood  $N_{swap}$  of the new solution continues. If during examination of neighborhoods  $N_{swap}$  at least one improvement is made, we return to neighborhood  $N_{ins}$ , otherwise local search (VND) is finished. The pseudo-code of VND and included functions are given in Algorithms 4, 5, and 6.

---

**Algorithm 4:** Function for Variable Neighborhood Descent procedure

---

```

1 Function VND( $X$ );
2  $k \leftarrow 1$ ;
3  $X' \leftarrow X$ ;
4 while  $k \leq 2$  do
5   if  $k = 1$  then
6      $X'' \leftarrow LSins(X)$ ;
7     if  $f(X'') < f(X')$  then
8        $X' \leftarrow X''$ ;
9        $k \leftarrow 1$ ;
10    else
11       $k \leftarrow 2$ ;
12    end
13  else
14     $X'' \leftarrow LSSwap(X)$ ;
15    if  $f(X'') < f(X')$  then
16       $X' \leftarrow X''$ ;
17       $k \leftarrow 1$ ;
18    else
19       $k \leftarrow 3$ ;
20    end
21  end
22 end
23 return  $X'$ ;

```

---

**Algorithm 5:** Function for local search in neighborhood  $N_{ins}$ 


---

```

1 Function LSIns( $X$ );
2  $X' \leftarrow X$ ;
3 for  $i \leftarrow 1$  to  $n$  do
4   for  $t \leftarrow 1$  to  $T$  do
5      $X'' \leftarrow \text{Move}(X', i, t)$ ;
6     if Feasible( $X''$ ) and  $f(X'') < f(X')$  then
7        $X' \leftarrow X''$ ;
8     end
9   end
10 end
11 return  $X'$ ;

```

---

**Algorithm 6:** Function for local search in neighborhood  $N_{swap}$ 


---

```

1 Function LSSwap( $X$ );
2  $X' \leftarrow X$ ;
3 for  $i_1 \leftarrow 1$  to  $n$  do
4   for  $i_2 \leftarrow i_1 + 1$  to  $n$  do
5     for  $t \leftarrow 1$  to  $T$  do
6        $X'' \leftarrow \text{Swap}(X', i_1, i_2, t)$ ;
7       if Feasible( $X''$ ) and  $f(X'') < f(X')$  then
8          $X' \leftarrow X''$ ;
9       end
10     end
11   end
12 end
13 return  $X'$ ;

```

---

Let us discuss briefly the complexity of the proposed local search. Cardinality of the neighborhood  $N_{ins}(X')$  of the solution  $X'$  is  $nmT$  (each of  $n$  data structures can be reallocated in each of  $T$  time intervals to one of the remaining  $m$  memory banks). On the other hand, examination of all neighboring solutions  $X'_1$  (suppose that  $X'_1$  is obtained by moving data structures  $\alpha_i$  from bank  $\beta_{j_1}$  to bank  $\beta_{j_2}$  during the time interval  $I_t$ ) consists of calculating changes in objective function value.

The change of objective function value consists of

- change in the part of the objective function containing cost of transferring data structure from one bank to another (can be calculated in  $O(1)$ ).
- change in the part of the objective function containing costs of accessing conflicts (can be calculated in  $O(k_{t,i})$ , where  $k_{t,i}$  is number of conflicts involving data structure  $\alpha_i$  in time interval  $I_t$ )

Obviously, the second part depends on a number of conflicts involving specified data structure and specified time interval. However, it is possible to make



aggregate complexity analysis if we note that each conflict participates in change of the objective function for at most  $2m$  neighboring solutions (from neighborhood  $N_{ins}$ ). Finally, the total complexity for calculating the change of the second part of the objective function is  $O(Km)$ , while the total complexity for both parts is  $O(nmT + Km)$ .

Regarding neighborhood  $N_{swap}$ , cardinality of neighborhood is  $\binom{n}{2}T$  (each of  $\binom{n}{2}$  pairs of data structures can exchange allocation on each of the  $T$  time intervals). Similarly, calculating change in objective function contains two parts: change in cost of moving data structure (can be calculated in  $O(1)$  time), and change of cost for conflict. Each conflict participates in change of the objective function for at most  $2(n - 2) + 1$  moves (each of data structures from conflict pair can exchange allocation with any of the remaining data structures). Now, it can be concluded that the total complexity is  $O\left(\binom{n}{2}T + Kn\right)$ .

## 5. COMPUTATIONAL RESULTS

Experimental results obtained by the proposed VNS algorithm for solving DMAP are given in this section. VNS heuristic was coded in C++. All computational experiments were performed on Pentium Core Duo CPU @ 2.66GHz with 6GB RAM. For the experimental testings of the proposed implementation, the set of instances used is the same as the one reported by Soto in [13].

The set of instances can be downloaded under the name `dmap.zip` from <http://www.opticom.es/dmap/dmap.zip>.

Instances are classified by their name and three relevant characteristics, notably time interval, number of data structures, and number of internal memory banks. In the following table, the main features of the instances are shown: Instance name, number of time intervals ( $T$ ), number of data structures ( $n$ ), and number of available internal memory banks ( $m$ ).

Instance name	<i>T</i>	<i>n</i>	<i>m</i>	Instance name	<i>T</i>	<i>n</i>	<i>m</i>
adpcmdy.dat	3	10	2	mulsol.i2dy.dat	39	188	16
alidydy.dat	48	192	6	mulsol.i4dy.dat	39	185	16
cjpegdy.dat	4	11	2	mulsol.i5dy.dat	40	185	16
compressdy.dat	3	6	2	myciel3dy.col	4	11	2
fpsol2i2dy.dat	87	451	15	myciel4dy.col	7	23	3
fpsol2i3dy.dat	87	425	15	myciel5dy.col	6	47	16
gsm_newdy.dat	2	6	2	myciel6dy.col	11	95	2
gsmdy.dat	5	19	2	myciel7dy.col	24	191	4
gsmdycorrdy.dat	5	19	2	queen5.5dy.col	5	25	3
inithx.i1dy.dat	187	864	27	queen6.6dy.col	10	36	4
lmsbdy.dat	3	8	2	queen7.7dy.col	16	49	4
lmsbv01dy.dat	4	8	2	queen8.8dy.col	24	64	5
lmsbvdy.dat	3	8	2	r125.1cdy.col	75	125	23
lmsbvdyexpdy.dat	4	8	2	r125.5dy.col	38	125	18
lpcdy.dat	4	15	2	r125.1dy.col	6	125	3
mpeg2enc2dy.dat	12	130	2	spectraldy.dat	3	9	2
mpegdy.dat	8	68	2	treillisdy.dat	6	33	2
mug100.1dy.col	7	100	2	turbocodedy.dat	4	12	3
mug100.25dy.col	7	100	2	volterrady.dat	2	8	2
mug88.1dy.col	6	88	2	zeroin.i1dy.dat	41	211	25
mug88.25dy.col	6	88	2	zeroin.i2dy.dat	35	211	15
mulsol.i1dy.dat	39	197	25	zeroin.i3dy.dat	35	206	15

Further, in order to compare with the known results, penalty cost  $p$  is set to be equal to 16ms/kB, movement data structure cost from internal to external memory bank ( $v$ ), and vice versa, is set to be equal to 4ms/kB, while movement between two internal memory banks ( $l$ ) is set to be equal to 1ms/kB.

Given that all metaheuristics, including VNS, have a stochastic nature, VNS algorithm was run 20 times for each problem instance. Finishing criteria of the proposed VNS were either time limit or event when  $k_{max}$  was reached. In this implementation,  $k_{max}$  was set to 10,  $k_{step}$  to 1, and  $k_{min}$  to 1. Execution time limit for VNS was set to 7,200 seconds per instance.

For easier comparison, the results of the presented VNS metaheuristic on tested instances are summarized in Tables 6 - 9, where presented VNS algorithm is compared with IM (from [13]), CPA, GRASP, and GRASP+EC (from [11]) and with BVNS, and RVNS methods (from [16]).

Table 6 is organized as follows. Names of instances, which are sorted alphabetically, are given in the first column. Determined by the solution values presented in columns "from Soto et. al [13] with correct. val." and "our ILP formulat." of Table 5 and by the solution values obtained by all considered methods (IM, CPA, GRASP, GRASP+EC, BVNS and RSVNS, and VNS), the best known solution value is presented in the second column. The next six columns are obtained using results from Sevaux et. al [11], where all known methods for solving DMAP (IM, CPA, GRASP and GRASP+EC) till that time were compared. Results in corresponding columns "%dev" are presented as a deviation value from the best known value from the second column (in percentage). Respective running times, which are given only for GRASP and GRASP+EC methods, are copied from [11]. Solution values, respective running times (in seconds), and deviations from the best knowns (in percentage), obtained by VNS metaheuristic proposed in this

paper, are presented in three final columns.

Table 6: Comparison between new and existing methods from the literature for solving DMAP

Instance	Best known	IM	CPA	GRASP		GRASP+EC		VNS		
		% dev.	% dev.	% dev.	time	% dev.	time	Obj.val.	time	% dev.
adpcmdy	44192	0	45.44	0	0.01	0	0	44192	0	0
alidydy	107398	939.7749	149.3545	159.5870	160.48	50.5519	85	107398	180.05	0
cjpegdy	4466792	0.0002	1.9302	0.0002	0.01	0.0002	0	4466800	0.01	0.0002
compressdy	342592	0	7.77	2.67	0.01	0	0	342592	0	0
fpsol2i2dy	2587875	64.4663	33.5580	7.9954	1015.13	7.9954	1000	2605980	835.11	0.6996
fpsol2i3dy	2582988	60.1959	33.7942	6.9327	1062.37	6.9327	1000	2616849	1000	1.3109
gsm_newdy	7808	0	17295	0	0.01	0	0	7808	0	0
gsmdy	1355389.875	<0.0001	<0.0001	0.1300	0.01	0.1300	0	1355390	0.22	<0.0001
gsmdycorrdy	494118	0	0	0.35	0.04	0.36	0	494118	1.85	0
inithx_i1dy	5652213	81.8834	24.2372	11.1145	700	11.3479	1000	5716414	1000	1.1359
lmsbdy	7409660	0.0001	0.3601	0.3301	0.29	0.1401	0	7409669	0	0.0001
lmsbv01dy	4350588	0.0012	3.7712	1.1312	0.01	1.8812	1000	4350640	0	0.0012
lmsbvdy	4323116	0.0041	2.2742	0.0041	0.01	1.1442	0	4323294	0.03	0.0041
lmsbvdyexpdy	4366972	0.0012	3.3812	2.6312	0.01	1.8812	1000	4367024	0.01	0.0012
lpcdy	26888	0	43.67	22.02	0.02	26.19	0	26888	0.04	0
mpeg2enc2dy	9812	0	45.99	9.46	0.75	10.14	0	10915.28	0.23	11.2442
mpegdy	10613.625	0.15	41.75	4.62	0.13	26.54	0	10648.88	480.44	0.3322
mug100_1dy	28890	0	109.98	25.49	14.71	21.47	0	30638	395	6.0505
mug100_25dy	28429	7.2813	101.1632	13.6967	11.89	14.7910	0	28876	323.07	1.5723
mug88_1dy	25305	0.877297	94.82432	10.18827	11.43	13.72906	0	25570	471.81	1.0472
mug88_25dy	24181	1.448331	74.51606	1.448331	7.78	0.533477	0	24365	392.18	0.7609
mulso1i1dy	459598	177.7919	223.0343	73.8314	1096.13	12.7677	66	478739	1794.61	4.1647
mulso1i2dy	552215	130.3367	214.9069	48.0897	1086.69	18.5287	71	557552	1792.89	0.9665
mulso1i4dy	505927	132.3606	222.5533	37.8263	1057.35	12.7690	56	505927	1785.29	0
mulso1i5dy	518854	144.7968	208.6101	40.8412	1080.78	10.7678	59	520929	1797.09	0.3999
myciel3dy	6379	89.14	6.88	8.42	1.24	11.26	0	6379	0.2	0
myciel4dy	18225	46.7084	20.2284	19.6006	6.07	11.6009	0	18272	152.63	0.2579
myciel5dy	39144	40.5647	79.1557	42.4718	28.86	16.2980	0	40383	197.31	3.1652
myciel6dy	108726	65.31727	59.49225	39.17426	94.96	14.58194	1	109942	25.18	1.1184
myciel7dy	411022	94.7880	106.6965	30.7758	377.08	8.7533	18	411022	193.94	0
queen5_5dy	21151	73.7655	29.5211	29.5211	4.76	29.5858	0	21151	70.41	0
queen6_6dy	39144	98.3058	50.1604	26.9977	284	20.5140	0	39676	207.02	1.3591
queen7_7dy	73264	154.6836	80.1393	45.1697	42.82	10.6983	0	73264	429.52	0
queen8_8dy	133130	190.5342	74.3438	33.4009	82.56	16.0512	2	133130	486.6	0
r125_1cdy	770623	203.5352	343.4828	58.9772	700	58.9772	120	770623	1788.57	0
r125_1dy	60931	85.06245	19.0019	16.75982	33.38	13.3765	0	61570	1315.53	1.0487
r125_5dy	501175	203.6558	214.9133	172.4574	1028.86	47.9300	26	501175	1786.26	0
spectraldy	15472	6.72	25.44	6.31	0.01	0	0	15472	0	0
treillisd	1805.5625	0.02	129.23	113.11	0.03	33.1	0	1807.56	61	0.1106
turbocodedy	3195	33.49	84.32	20.09	0.13	20.09	0	3195	0.05	0
volterrady	178	7.87	7.87	7.87	0.01	7.87	0	178	0	0
zeroin_i1dy	486921	76.6642	277.5686	65.5265	1091.16	18.3601	79	490350	1792.83	0.7042
zeroin_i2dy	501989	86.4093	207.0074	39.6488	1086.34	11.0174	103	501989	1194.54	0
zeroin_i3dy	551001	81.0598	222.9487	47.8225	1063.72	12.5924	58	551001	1798.99	0

Table 7 is organized similarly. The first two columns are copied from Table 6. In the next six columns of Table 7, results of testing instances by using BVNS and RSVNS are given. These results appear in groups of three (value, running time (in seconds) and deviation value from the best known value (in percentage)). The individual results (solution value and running time) for each instance, for both algorithms proposed in Sánchez-Oro et. al [16], are provided by Jesús Sánchez-Oro, to whom we are very grateful. Deviation value is calculated as deviation from the Best known solution from the second column, in percentage. The last three columns are copied from Table 6, where the results of the presented VNS are given in the same way as the results for BVNS and RSVNS.

First, we want to point to the fact that with the introduced improved ILP formulation of Soto et. al from [11] and our ILP formulation for DMAP problem in embedded systems, CPLEX obtained better results than all considered methods for 10 instances. Therefore, solution presented in column "Best known" of Tables 6 and 7, is the best solution obtained in comparison with the results presented in Table 5 and solutions obtained by all considered methods (IM, CPA, GRASP, GRASP+EC, BVNS, RSVNS, and VNS).

Table 7: Comparison between new and the most recent methods from the literature for solving DMAP

Instance	Best known	BVNS			RSVNS			VNS		
		value	time	%dev	value	time	%dev	Obj.val.	time	%dev
adpcmdy	44192	44192	0.088	0	44192	0.146	0	44192	0	0
alidydy	107398	107766	100.004	0.3427	107846	100.022	0.4171	107398	180.05	0
cjpegdy	4466792	4466792	0.031	0	4466799	0.057	0.0002	4466800	0.01	0.0002
compressdy	342592	342592	0.004	0	342592	0.016	0	342592	0	0
fpsol2i2dy	2587875	2610611	100.225	0.8786	2587875	100.239	0	2605980	835.11	0.6996
fpsol2i3dy	2582988	2601944	100.205	0.7339	2582988	100.107	0	2616849	1000	1.3109
gsm_newdy	7808	7808	0.003	0	7808	0.019	0	7808	0	0
gsmdy	1355389.875	1355389.88	0.074	0	1355389.88	0.188	0	1355390	0.22	<0.0001
gsmodycorr	494118	494120	0.071	0.0004	494118	0.192	0	494118	1.85	0
inithx_i1dy	5652213	5652213	102.062	0	5654904	101.053	0.0476	5716414	1000	1.1359
lmsbdy	7409660	7409660	0.005	0	7409660	0.032	0	7409669	0	0.0001
lmsbv01dy	4350588	4350628	0.009	0.0009	4350588	0.046	0	4350640	0	0.0012
lmsbvdy	4323116	4327364	0.013	0.0983	4323116	0.045	0	4323294	0.03	0.0041
lmsbvdyexpdy	4366972	4367004	0.01	0.0007	4366972	0.052	0	4367024	0.01	0.0012
lpcdy	26888	26888	0.033	0	26888	0.16	0	26888	0.04	0
mpeg2enc2dy	9812	12463.2793	22.921	27.0208	12451.2793	39.915	26.8985	10915.28	0.23	11.2442
mpegdy	10613.625	10764.6875	2.573	1.4233	10694.375	4.167	0.7608	10648.88	480.44	0.3322
mug100_1dy	28890	30781	6.252	6.5455	30515	10.719	5.6248	30638	395	6.0505
mug100_25dy	28429	29409	6.058	3.4472	28997	10.629	1.9980	28876	323.07	1.5723
mug88_1dy	25305	26048	3.821	2.9362	25823	6.596	2.0470	25570	471.81	1.0472
mug88_25dy	24181	24886	3.75	2.9155	24569	6.487	1.6046	24365	392.18	0.7609
459598	459598	465557	100.033	1.2966	459598	100.064	0	478739	1794.61	4.1647
mulsol_1i2dy	552215	561626	100.001	1.7042	552215	100.006	0	557552	1792.89	0.9665
mulsol_1i4dy	505927	511055	100.056	1.0136	506162	100.139	0.0464	505927	1785.29	0
mulsol_1i5dy	518854	527097	100.056	1.5887	518854	100.046	0	520929	1797.09	0.3999
myciel3dy	6379	6382	0.015	0.0470	6379	0.048	0	6379	0.2	0
myciel4dy	18225	19041	0.172	4.4774	18678	0.405	2.4856	18272	152.63	0.2579
myciel5dy	39144	42324	0.992	8.1239	42105	1.763	7.5644	40383	197.31	3.1652
myciel6dy	108726	114466	14.774	5.2793	112502	25.107	3.4730	109942	25.18	1.1184
myciel7dy	411022	417987	100.002	1.6946	412993	100.058	0.4795	411022	193.94	0
queen5_5dy	21151	21903	0.182	3.5554	21763	0.404	2.8935	21151	70.41	0
queen6_6dy	39144	41018	1.223	4.7875	40722	2.062	4.0313	39676	207.02	1.3591
queen7_7dy	73264	75493	5.567	3.0424	75190	9.054	2.6288	73264	429.52	0
queen8_8dy	133130	140476	19.34	5.5179	137027	32.223	2.9272	133130	486.6	0
r125_1cdy	770623	854826	100.035	10.9266	836061	100.202	8.4916	770623	1788.57	0
r125_1dy	60931	62798	8.181	3.0641	62033	13.396	1.8086	61570	1315.53	1.0487
r125_5dy	501175	534619	100.012	6.6731	528754	100.101	5.5029	501175	1786.26	0
spectrallydy	15472	15472	0.009	0	15472	0.032	0	15472	0	0
treillisdy	1805.5625	1869.375	0.411	3.5342	1829.8125	0.813	1.3431	1807.56	61	0.1106
turbocodedy	3195	3233	0.032	1.1894	3195	0.078	0	3195	0.05	0
volterra	178	178	0.003	0	178	0.018	0	178	0	0
zeroin_1i1dy	486921	494032	100.006	1.4604	486921	100.023	0	490350	1792.83	0.7042
zeroin_1i2dy	501989	515845	100.004	2.7602	507314	100.098	1.0608	501989	1194.54	0
zeroin_1i3dy	551001	572150	100.039	3.8383	564326	100.084	2.4183	551001	1798.99	0

Now, considering the results from Tables 6 and 7, none of the presented methods found all best known solutions. For instance, CPLEX reached 18 of the best known solutions, of 31 solved. Further, IM, CPA, GRASP, and GRASP+EC together were successful in finding 8 of the best known, BVNS 10, RSVNS 20, and

the presented VNS 19. Further more, the best known solution obtained only by IM was in two cases (the same number as for the BVNS), the best known solution obtained only by RSVNS was in 9 cases, while the best known solution obtained only by VNS was in 10 cases (same as with CPLEX). CPA, GRASP, and GRASP+EC together have no solution better than the solutions obtained by other considered methods for any of the tested instance. More details about this comparison are given in Table 8.

If we compare presented VNS with IM, CPA, GRASP, and GRASP+EC only (Table 6), we can see that: for 26 instances VNS finds better solutions than the solutions obtained with other four methods; for 12 instances VNS finds solutions equal to the best solutions obtained with other four methods; for 6 instances VNS finds worse solutions than the best solutions obtained with other four methods. Similarly, if we compare solutions of presented VNS with solutions obtained only with BVNS and RSVNS methods (Table 7), we can see that for 21 instances VNS finds better solutions than the solutions obtained with these two methods; for 9 instances solutions equal to the best solutions obtained with these two methods; for 14 instances VNS finds worse solutions than the best solutions obtained with these two methods.

Considering only results of the presented VNS, we can notice that running values are less than 1,800s, which can be considered as very fast execution time. Comparing results of the presented VNS with results from the second column of Tables 6 and 7, we can notice that deviation values varies from  $< 0.0001\%$  up to  $11.2442\%$  for instances where best known solutions are not reached. More precisely, with average deviation from the best solution value of  $0.8513\%$ , solution obtained by the proposed VNS differ from the best known solution in less than  $1\%$  for 14 instances, between  $1\%$  and  $2\%$  for 7 instances, and differ more than  $2\%$  for only 4 instances. Also, we can conclude that proposed VNS obtains the best improvements especially on very large instances ( $T \geq 15, n \geq 20$  and  $m \geq 5$ ). Notably, solutions for instances "r125.1cdy.col" and "r125.5dy.col" with respective parameters  $T, n$ , and  $m$  equal to  $(75, 125, 23)$  and  $(38, 125, 18)$ , improved for  $37.1\%$  and  $32.4\%$ . Similarly, two instances of "zeroin" type with values parameters  $T, n$ , and  $m$  up to  $(35, 211, 15)$  have the improvement of  $10\%$  and higher.

In Table 8 average objective function values (Avg.), average running time in seconds (Time(s)), average percentage deviation with respect to the best solution found (Dev(%)), and the number of times only that method matches the best result (#Best), are presented. Average value and average time for IM, CPA, GRASP, GRASP+EC are taken from [11]. Average value and average time for BVNS, RSVNS, and proposed VNS, together with the average deviation from the best known result, shown in the second column of Tables 6 and 7, are calculated in accordance with the data presented in Tables 6 and 7. The number of times a method matches the best result is calculated as the number of instances for which the best result is matched only by using that method. For instance, the best result for "mpeg2end2dy" and "mug100.1dy" was reached only by IM. In analogy to that, for 2 instances the best results were obtained only by the BVNS, for 9 instances only by the RSVNS, and for 10 instances the best results were obtained only by the

proposed VNS. Given the fact that testings of considered methods were performed on computers of different specifications, in order to compare running times of the proposed VNS algorithm with running times of the other considered algorithms, specifically, in order to compare the running times of the proposed VNS algorithm with the running times of the BVNS and RSVNS methods, a certain scaling factor should be applied. This scaling factor can be calculated as ratio between CPU mark (from <https://www.cpubenchmark.net/compare.php>) of computers performances. Since CPU of the computer used for testings of BVNS and RSVNS was marked as 4944 and CPU of ours as 1719, we can conclude that their computer is almost 3 times faster than ours. Regardless of the fact that average execution time of the proposed VNS algorithm is longer than the running time of other considered methods, longer execution time can be attributed to instances for which better objective function value is obtained. Now, as it can be seen from Table 8, although average value of the tested instances got using the proposed VNS method is the second best, the average deviation from the best known solution value obtained by the proposed VNS algorithm is the best. Indeed, solution value of the proposed VNS algorithm differ from the average value of the best known solutions in less than 1%. Further more, by using the proposed VNS algorithm for the biggest number of instances, the best known solution value is introduced.

Table 8: Comparisons between methods considered in this paper

	Avg.	Time (s)	Dev (%)	#Best
IM	1,378,609.24	300.72	76.81	2
CPA	1,375,151.61	300.48	477.73	0
GRASP	1,110,087.76	300.45	29.65	0
GRASP+EC	1,060,597.74	130.55	13.94	0
BVNS	1,006,790.25	34.08	2.77	2
RSVNS	1,003,751.30	35.62	1.97	9
VNS	1,004,086.27	539.78	0.85	10

In order to confirm the differences among the presented algorithm and algorithms known from the literature, we performed the Friedman non-parametric statistical test with all the individual values. The Friedman test ranks each algorithm in all instances according to the quality of the solution obtained, giving rank 1 to the best algorithm, 2 to the second one, and so on. If the averages differ greatly, the associated p-value or significance will be small. Similarly to the test presented in [16], the Friedman non-parametric statistical test is performed for IM, GRASP+EC, BVNS, RSVNS, and VNS. The resulting p-value (lower than 0.001) obtained in this experiment clearly indicates that there are statistically significant differences among the tested methods. More precisely, the average rank values produced by this test are 3.9886 (IM), 4.0682 (GRASP+EC), 2.9091 (BVNS), 2.0227 (RSVNS), and 2.0114 (VNS), but additional  $p$  information shows that VNS and RSVNS statistically significantly differ from IM, GRASP, GRASP+EC, and BVNS but not from each other.

We conducted an additional statistical test (Wilcoxon signed rank test) to perform pair-wise comparisons between our method (VNS) and the previous al-

gorithms (IM, GRASP + EC, BVNS and RSVNS). Table 9 presents the results of Wilcoxon signed rank test. Since  $p$ -value obtained in the first three tests, presented in Table 9, is lower than 0.001, it means that there are significant statistical differences between the compared algorithms. Therefore, VNS clearly outperforms the results obtained by IM, GRASP+EC, and BVNS. Further, since  $p$ -value obtained in the fourth test is much higher than the significant, we can consider these methods as the methods of the similar quality. Still, given that with the proposed VNS method better solution was obtained for 21 instance, while with RSVNS better solution was obtained for 14 instances, we give VNS a little advantage.

Table 9: Results of the Wilcoxon signed rank test run over each pair of algorithms of the final experiment

A1	A2	A1 < A2	A1 > A2	A1 = A2	$p$ -value
VNS	IM	28	5	11	$\leq 0.001$
VNS	GRASP+EC	37	2	5	$\leq 0.001$
VNS	BVNS	30	8	6	$\leq 0.001$
VNS	RSVNS	21	14	9	0.2318

## 6. CONCLUSIONS

This paper presents our improvement of the existing ILP formulation for DMAP. The proposed cost function covers all oversights we referred to and therefore, with the proposed improvements, our new ILP formulation corresponds to the DMAP completely. In addition, we presented a new ILP formulation and a new metaheuristic approach based on the Variable Neighborhood Search. In order to compare the results of the proposed VNS heuristic and the methods previously established, we tested all of them on the same set of instances. From the computational results we can conclude that the proposed VNS heuristic effectively solves DMAP, providing (including CPLEX solutions of the new ILP formulation) 20 new best known solution values. Results obtained by the VNS are better than the results obtained by each method considered individually and better or equal to the results obtained by all methods previously established. Indeed, results obtained by the VNS are better or equal to the best result obtained by IM, CPA, GRASP, GRASP+EC, BVNS and RSVNS, combined for 25 instances. Execution time could not be easily compared given that almost all of the proposed methods for solving DMAP were tested on different types of computers, but all solutions were reached in a reasonable time limit or even less than 1,800 seconds.

Building similar dynamic memory allocation problems in embedded systems such as problems which involve calculation memory, possible movements during time blocks, conflicts which involve three or more data structures at the same time could be considered as the future work. Also, implementation of parallelization into VNS heuristic can be considered as well.

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